

# **Research article**

# Short-Term Analgesic Effects of Focused Shockwave Therapy in Common Orthopedic Diagnoses

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#### Abstract

**Background:** Common orthopedic diagnoses are considered to be the cause of pain for a great number of patients. Current options for pain management include medication, injection or surgery. Recently, Focused Shockwave Therapy (FSWT) has gained popularity due to its clinical efficacy and non-invasive application.

**Objective:** The aim of this study was to evaluate the short-term efficacy of FSWT in pain management of patients diagnosed with epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles tendinosis.

**Methods:** Patients underwent  $3,5 \pm 0,4$  treatment sessions on average, depending on their state of health. All patients were treated with a FSWT device BTL-6000 FSWT (BTL Industries Ltd.) and their perception of pain was evaluated prior to the beginning of treatment (baseline) and after the last treatment using the Visual Analogue Scale (VAS).

**Results:** A significant (p<0.01) difference was found between VAS baseline and VAS after the last treatment in all diagnoses. The most significant pain reduction according to the VAS score was found in the group of patients with Achilles' tendinosis (60.9%), followed by the patellar tendinopathy (57.8%) group.

**Conclusion:** FSWT was found to be an effective modality in immediate pain reduction in patients with epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles' tendinosis.

**Keywords:** Focused shockwave therapy; Visual analogue scale; Pain relief; Orthopedics; Epicondylitis; Rotator cuff syndrome; Plantar fasciitis; Patellar tendinopathy; Achilles' tendinosis; BTL-6000 FSWT

#### Introduction

Common ways to treat tendon and muscle disorders of musculoskeletal system include physiotherapy, standard medication (analgesics and non-steroidal anti-inflammatory drugs), injections (corticosteroid, platelet-rich plasma), physical modalities, taping and bracing, rest, intramuscular dry needling and surgery (usually the last treatment option for patients who did not respond to non-invasive methods) [1,14-18]. Recently, focused shockwave therapy (FSWT) has become a popular alternative to mentioned approaches thanks to being considered as an effective, safe, repeatable and noninvasive therapy for the treatment of many musculo-skeletal diseases [17,19].

FSWT uses the technology of extracorporeal shockwave which was introduced in 1980, when high energy extracorporeal shockwaves served as a means of treating kidney stones. Gradually, the principle of regenerative effects was discovered and shockwave therapy was introduced in the field of orthopedics [14]. Since then, ESWT has become the preferred choice in the treatment of many orthopedic disorders including plantar fasciitis of the heel, epicondylitis of the elbow or tendinitis of the shoulder. This therapy has also proven to be useful in the treatment of nonunion of long bone fractures. Prospective studies using ESWT on patellar and Achilles' tendinopathies indicate good results as well. Rare studies done by Asian authors also show positive results in the treatment of avascular necrosis of the femoral head [20].

A shockwave is defined as an acoustic wave which produces a short (microsecond duration) three-dimensional pressure pulse. There are two modalities for shockwave therapy: focused (convergence) and radial (divergence). In FSWT, the source of energy is either electrohydraulic, electromagnetic or piezoelectric and shockwaves are concentrated into small focal areas at selected depths in the body tissues to ensure optimal therapeutic effect. On the other hand, in Radial Shock Wave Therapy (RSWT), the focal point is not centered on the target zone, as in case of FSWT. Instead, shockwave is generated through the acceleration of a projectile inside the handpiece of the treatment device and thus the focal point is on the tip of the applicator. The pressure wave then penetrates the body radially and can not be focused in the deeper layers, the area of treatment is more superficial [21-23].

Therapeutic effects are considered to be dependent on the energy delivered to a focal area (the energy flux density), the focal zone size and on the tissue penetration. Although the subject is still under study, it is known that ESWT stimulates the local biological response and is able to relieve pain, as well as positively regulate inflammation. Moreover, shockwaves improve tissue regeneration and healing by neoangiogenesis and stem cells activities. ESWT can be presented as an alternative to chirurgic therapy in some chronic tendinopathies and healing disorders. Its advantages are safety and non-invasivity. In the time of Covid pandemic, the use of ESWT is coming to the fore even more [19,23].

# **Clinical Indication of ESWT**

The International Society for Medical Shockwave Treatment (ISMST) provides a list of recommended indications which includes epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles' tendinosis.

# Epicondylitis

Epicondylitis is a widespread disorder of the upper limb manifested by chronic pain and functional impairment in the region of elbow joint, precisely in the region of lateral ("tennis elbow"), as well as medial ("golfer's elbow") epicondyle. Epicondylitis characteristically affects the middle-aged population. Patients suffer from inflammation of the arm muscles' tendon insertions which typically causes pain during resisted extension/flexion of wrist [1,2]. Any activity involving excessive and repetitive use of forearm muscles, which could lead to microtears in tendons and graver degenerative changes in the future, may cause epicondylitis [3].

#### **Rotator cuff syndrome**

Rotator cuff syndrome typically appears in athletes or workers performing repetitive overhead motions during which they expose their shoulder/s to high repetitive forces. This often leads to compression of the rotator cuff tendon underneath the acromion (impingement syndrome), calcified/noncalcified tendinitis of the cuff or partial rotator cuff tear appears [4,5]. Patients usually experience pain while elevating the arm or when lying on the affected side. In general, shoulder pain is considered to be the third most prevalent musculoskeletal disorder in orthopedics [5].

# **Plantar fasciitis**

The plantar fascia extends from the calcaneus to the distal part of each metatarsophalangeal joint sustaining the medial longitudinal arch of the foot. Plantar fasciitis is characterised by sharp pain manifested at the insertion of plantar fascia at the medial tubercle of the calcaneus [6]. The pain appears when performing activities that involve prolonged weight-bearing and has a tendency to worsen with the first walking steps in the morning or after a long period of rest. Usually either professional or recreational athletes are affected by plantar fasciitis, as the disorder is associated with physical activities which include overuse, prolonged standing, running, incorrect training and inadequate footwear. Intrinsic risk factors include obesity, pes planus, pes cavus, reduced range of ankle dorsiflexion and

## tight calf muscles or Achilles' tendon [6-8]. Patellar tendinopathy

Patellar tendinopathy, also known as jumper's knee, is a common pathological condition caused by overload which can appear already during adolescence. Disorder affects the patellar tendon and results in progressive anterior knee pain and patellar tendon dysfunction. The patellar tendon extends distally from the patella and attaches the quadriceps muscle to the tibia. This overuse disorder typically affects athletes, especially in sports involving high-impact jumping such as volleyball and basketball or running [9-11].

#### Achilles' tendinosis

The etiology behind an Achilles tendinosis remains unclear but there are many theories indicating the cause of the disease. Causes can be divided into infective (infective pathogens proliferating within the tendon sheaths) and non-infective (autoimmune, overuse and repetitive movements, idiopathic, decreased blood supply and tensile strength with aging, muscle imbalance or weakness, insufficient flexibility, and even malalignment such as hyperpronation). Some theories also suggest that genetics, endocrine disorders, and free-radical production can also lead to tendinosis. Biopsies of diseased tendons revealed that there exists a cellular activation which has been proven by an increase in cell numbers and ground substance, collagen disarray and neovascularization. Neurovascular in-growth and glutamate, which is a modulator of pain, have been detected in the diseased tendons and they have been marked to be the source of pain in patients with Achilles' tendinosis. [12,13].

The aim of this study was to evaluate the immediate pain relief effect of FSWT among patients with epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles' tendinosis that came to our medical center.

# **Materials and Methods**

#### **Inclusion criteria**

Prior to starting the study, patients' orthopedic disorders (epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and tendinosis of insertion portion of Achilles tendon) were diagnosed and confirmed by medical doctors using the SonoScape ultrasound system and supplemented by health records.

#### **Exclusion criteria**

Patients were excluded from the study if they had severe coagulopathy, polyneuropathy, acute inflammation and/or infection, any unstable medical or psychiatric conditions, used anticoagulants or were pregnant. Additionally, patients who experienced recent impact trauma (such as a fall) or had a local corticosteroid applied in the treated area prior to or during the study were also excluded as to avoid misinterpreted data. Common ESWT contraindications also include lung tissue in the treatment area, malignant tumor in the area, epiphyseal plate in the area, fetus in the area and the area of the brain or spine. **Study design** 

The study is a retrospective evaluation of data about short-term effects of FSWT in pain management. It is based on the collection of patients' evaluations of pain which are commonly obtained before the following FSWT treatment. Despite the fact that the highest efficacy of FSWT is known to be after several months [28-41].after finishing with therapy, in this study we wanted to focus on immediate, short-term effects of the technology after the last therapy.

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Figure 1: Therapy procedure for Achilles' tendon (courtesy of "Centrum sportovní medicíny")

#### Ethical standards

Each of the participants has signed a written informed consent for medical treatment. Data were collected retrospectively and all was in accord with the ethical guidelines of the 1975 Declaration of Helsinki adopted by the General Assembly of the World Medical Association (1997-2000) and by Convention on Human Rights and Biomedicine of the Council of Europe (1997) [24,25].

#### Therapy device

For all participants, FSWT was applied with the device BTL-6000 FSWT (BTL Industries Ltd.). This therapy device has an intensity of up to 0.65 mJ/mm2 (the energy flux density), frequency range 1-25 Hz and adjustable penetration depth due to different coupling pads.

#### Therapy procedure

A patient's positioning during application depends on the therapeutic area to be treated. For epicondylitis, the patient is either sitting with the affected arm placed on the couch or lying in a supine position with upper extremities along the body. For rotator cuff syndrome, the patient is lying on back or on the unaffected side. For plantar fasciitis, the patient is lying in a prone position and his treated leg is supported under the ankle. For patellar tendinopathy, the patient is lying in a supine position with a slightly flexed treated knee which is supported by an orthopaedic knee pillow. For tendinosis, the patient's positioning depends on the treated area. A suitable coupling pad was chosen based on the necessary penetration depth. Ultrasound gel was applied on the lens surface as well as in the treated area. The therapy was always initiated outside of the patient's body with low intensity. FSWT was applied in the most painful spot. **Therapy parameters** 

All patients underwent from at least 3 up to 6 treatment sessions, depending individually on each patient and on his subjective evaluation of pain. Application of FSWT continued until there was no subjective change from the last therapy. Therapies were performed once a week with the total amount of 2000 shocks per treatment and frequency between 4-8 Hz. The intensity was adjusted individually according to patients' feedback. After each session, the treated area was at rest for 48 hours.

#### Pain evaluation

To evaluate and measure the subjective perception of pain intensity, Visual Analogue Scale (VAS) was used. The scale consists of a 10 cm line divided into 10 equal sections, with 0 representing "no pain" and 10 representing "unbearable pain" or in other words "the worst imaginable pain". Each participant was asked to indicate on this scale the level of pain in the affected area before the initial intervention (baseline) and after the last treatment [26]. For some patients, data concerning final intensity (%) of FSWT were also collected.

# Results

A total of 149 patients received up to 6 treatments  $(3,5 \pm 0,4)$  on average), depending on their diagnosis and state of health. Baseline characteristics of the patients are shown in Table 1. Results will be presented for each diagnosis separately. A significant (p<0,01) difference was found between VAS baseline and VAS after the last treatment in all diagnoses.

#### Epicondylitis

For the patients diagnosed with epicondylitis, the VAS baseline results were  $6.6 \pm 1.3$ . From the baseline, VAS decreased to  $4.5 \pm 1.8$  (Chart 1). The difference of  $2.1 \pm 1.7$  represents 31.9% *Table 1: Baseline characteristics of the patients.* 

Diagnose	Number of pa- tients	Males	Females	Mean age
Epicondylitis	40	22	18	$45.9\pm8.8$
Rotator cuff syn- drome	42	27	15	47.9 ± 9.5
Plantar fasciitis	49	26	23	$47.9\pm8.9$
Patellar tendi- nopathy	8	7	1	$28.3\pm6.2$
Achilles' tendi- nosis	10	7	3	46.0 ± 17.2



Figure 2: Patellar tendinitis prior and 6 weeks post treatment - edema reduction and widening of ligamentum patellae (arrow)



Figure 3: Rotator cuff syndrome prior, after 3rd therapy and 6 weeks post treatment - reduction in the effusion of subacromial bursa (arrow)

reduction in pain (Table 2). For 30 patients, data including the final intensity of  $29.5 \pm 7.1$  were available (Table 3).

#### **Rotator cuff syndrome**

In patients with rotator cuff syndrome, the perception of pain reduced from  $6.4 \pm 1.3$  to  $3.8 \pm 1.6$  (Chart 1). The decrease corresponds with 41.5% ( $2.7 \pm 1.6$ ) (Table 2). The final intensity data of  $38.0 \pm 5.7$  were obtained for 36 patients (Table 3).

# Plantar fasciitis

The VAS baseline for the group of patients with plantar fasciitis was  $6.7 \pm 1.7$  and after the last therapy, the obtained VAS results were  $3.7 \pm 1.7$  (Chart 1). The difference between both VAS values is 44.8% ( $3.0 \pm 1.8$ ) (Table 2). For 39 patients of this group, there were available data about the final intensity of  $34.6 \pm 6.4$  (Table 3).

#### Patellar tendinopathy

In the patellar tendinopathy group, VAS baseline results were  $7.3 \pm 1.0$ . A difference of 57.8% ( $4.2 \pm 2.0$ ) was found between VAS baseline and VAS after the last treatment (Table 2). From

baseline, VAS was reduced to  $3.1 \pm 1.5$  (Chart 1). The final intensity data were taken for 3 patients  $(38.7 \pm 10.1)$  (Table 3). **Tendinosis of Achilles tendon** 

In patients diagnosed with Achilles' tendinosis, the pain evaluation decreased from  $5.8 \pm 1.8$  to  $2.3 \pm 1.6$  (Chart 1). The difference between VAS results represents 60.9% ( $3.5 \pm 1.7$ ) reduction in pain perception (Table 2). For 10 patients, the final intensity data of  $35.9 \pm 6.7$  were included (Table 3).

#### Discussion

The aim of this study was to evaluate the short-term effects of FSWT on pain perception, using a FSWT device BTL-6000 FSWT (BTL Industries Ltd.), in the treatment of patients diagnosed with epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles' tendinosis. Our measurement results provide evidence that treatment with this FSWT device had a significant effect on decreasing the VAS score of pain in the affected area in all orthopedic diagnoses

Table 2: VAS difference prior and post treatment.

Diagnose	VAS difference	VAS difference in %
Epicondylitis	$2.1\pm1.7$	31.9%
Rotator cuff syndrome	$2.7\pm1.6$	41.5%
Plantar fasciitis	$3.0 \pm 1.8$	44.8%
Patellar tendinopathy	$4.2\pm2.0$	57.8%
Achilles' tendinosis	$3,5 \pm 1,7$	60.9%

*Table 3: Final intensity.* 

Diagnose	Final intensity (%)	Number of patients with available data
Epicondylitis	$29.5\pm7.1$	30
Rotator cuff syndrome	$38.0\pm5.7$	36
Plantar fasciitis	$34.6\pm6.4$	39
Patellar tendinopathy	$38.7\pm10.1$	3
Achilles' tendinosis	$35.9\pm6.7$	10

included in this study. This further supports the notion that FSWT could be an important modality for treating orthopedics patients due to a significant reduction in pain. We acknowledge that the following study has certain limitations, which include the absence of comparing FSWT to other regular physiotherapy/medical options or unequal distribution and number of participants in each diagnostic group.

In this study, the most significant change in the VAS score was found in the group of patients with Achilles' tendinosis (60.9%), followed by the patellar tendinopathy (57.8%) group. These results could be influenced by the smallest sample of patients participating in both of these groups when compared to other diagnoses. From the data available in this study, it seems that the final intensity is not important for the effect. However, it is necessary to further research this notion.

There are several studies which report the favorable effect of ESWT in patients with epicondylitis. For example, the success rate of 59,89% in reduction of pain was found in the study by Rogoveanu et al. [2] and Tesla et al. [27] reported progressive decrease in pain perception during one, six- and twelve-months follow-up. On the other hand, in some studies, ESWT is marked as ineffective or even less effective than placebo [28]. The reasons behind the different outcomes could be understood by analyzing the methods of application in various studies. Factors such as the use of different ESWT devices, lack of standard treatment protocol (and thus variable frequency, number of sessions per week, number of impulses for each session, type of shockwave), different follow-up times and evaluation methods affect the outcomes of the studies. Furthermore, application of local anesthesia or non-steroidal anti-inflammatory drugs, stretching and eccentric exercises and the use of ice before and after every session could influence the results [2,27,28]. We might expect that best results will be obtained by ESWT and the combination of other treatment techniques performed by experienced physiotherapists or doctors.

ESWT has emerged as a strong therapeutic tool for shoulder pathology. As it was mentioned previously, rotator cuff syn-

# VAS Evaluation (p<0,01)



# Chart 1: VAS evaluation.

drome can have multiple causes. While high quality evidence supports the efficiency of ESWT in the treatment of rotator cuff calcifications, clinical efficacy of ESWT in non-calcific tendinopathies and other shoulder pathologies remains controversial [29]. In case of calcification, Wang [30] has achieved excellent or good results in 90.9% of patients in his study and complete disappearance of calcification in 57.6% of patients. The mechanism of improved calcium reabsorption post ESWT treatment is based on the neo-lymphangiogenesis phenomenon. Regarding energy levels, there are numerous publications that have shown a high level of energy to be more effective. To achieve the same results when using low energy devices, more treatment sessions are required. For non-calcific tendinopathies and partial tendon ruptures, ESWT is able to improve vascularization of the rotator cuff and stimulate the release of growth factors [29]. However, Kolk et al. [31] or Schmitt et al. [32] found statistically not significant reduction in pain and do not recommend ESWT as a treatment option. This could be due to use of low energy parameters in both studies.

The treatment of plantar fasciitis by ESWT has been previously investigated in various clinical studies. Although there exists strong evidence proving its safety and effectiveness, there is still divergence in the characteristics of the treatment protocols and therapeutic parameters vary across different studies [14]. Findings have shown that one of the effects of ESWT is also neovascularization. This effect induces improved blood supply in the affected area, in this case at the insertion of the plantar fascia. Neovascularization is then crucial in the explanation of mechanisms of long-term improvement in chronic painful conditions, such as plantar fasciitis [33]. With regards to the results obtained in our study, in the work of Hench and Seppel [14] and multiple other studies, we can conclude that ESWT is an effective modality in patients with plantar fasciitis in the short-time period as well. There are also studies in which shock waves were directed to anatomical landmarks rather than to the point of greatest tenderness, which used lower energy levels or local analgesia. That could be the reason why these studies failed to show superiority of extracorporeal shock wave therapy over a placebo [34-36].

There is conflicting evidence regarding the effectiveness of ESWT for patellar tendinopathy. These differences in evidence may have several reasons, such as lack of objective diagnostic criteria for patellar tendinopathy, proven effectiveness of ESWT only during certain stages of tendinopathy (late degeneration phase) or, as it was already discussed, various instrumental settings [23]. Moreover, rest seems to be important in the first phase after ESWT treatment and heavy physical activity should be avoided. This is further supported by a study that showed no effect of ESWT in the early stage of patellar tendinopathy in actively competing athletes [37]. In accord with results found in our study, Wang et al. [38] achieved significantly better results for the study group treated with ESWT than for the control (placebo) group. He also followed recurrence of symptoms, which occurred in 13% of the patients of the study group and in 50% of the control group and ultrasonographic examination, which showed a significant increase in the vascularity of the patellar tendon in the ESWT group.

For the ESWT treatment of Achilles tendinosis, only a small number of studies is available. Nevertheless, authors have obtained positive results concerning the reduction in pain perception in all of these studies, which provides initial evidence that ESWT is an effective treatment option for tendinosis. Malliaropoulos et al. [39] found a functional improvement and a statistically significant decrease in VAS scores between baseline and 1-month, 3-month and 1-year follow-up in 93.1% of cases of patients with trigger finger. Similar results were achieved in the study about ESWT treatment of the primary long bicipital tendinosis [40]. In the systematic review by Ferarra et al. [41], ESWT turned out to be the most effective means of conservative treatment used for functional improvement and pain control in trigger finger.

#### Conclusion

FSWT is an effective modality in the treatment of patients diagnosed with epicondylitis, rotator cuff syndrome, plantar fasciitis, patellar tendinopathy and Achilles' tendinosis based on its analgesic properties. The results of the present study proving short-term effects on pain reduction are encouraging but other studies with larger samples or comparisons with other conservative interventions should be implemented, to better understand the effects of FSWT and to unify optimal treatment parameters. For these reasons, continued research in this area is therefore of great importance.

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